University of Illinois at Chicago (UIC)

Guidance on Making Disclosures on the Report of Non-University Activities (RNUA) for non-University Activities,

Affiliations and Appointments

September 18, 2020

This list below is not all-inclusive and is meant to serve as guidance. All activities described describe both international and domestic activities.

If you have questions, contact the COI Office at COI@uic.edu
COI Director, Jacquelyn Jancius, (312) 996-4070 or jancius@uic.edu
COI Coordinator, Scott MacEwen (312) 996-3642 or smacewen@uic.edu

The Conflict of Commitment and Interest Policy: https://research.uic.edu/compliance/coi/policies-regulations/

RNUA FAQs: https://go.uic.edu/coiRNUAFAQ

Non-University Activities Academic Staff are required to include on RNUA	Activities that Academic Staff are <u>not</u> require to include on RNUA
Appointments ¹ and Affiliation	ons (whether paid or unpaid)
 Universities other than UI System² Hospitals other than UI Health Governments and Government Agencies Veteran Affairs (VA) Company or other External Boards including Scientific Advisory Boards Foundations Talent or recruitment programs, including those affiliated with governmental bodies or Universities outside the UI System 	 UI System Appointments approved by the University of Illinois Board of Trustees Contracted agreements through the University of Illinois and approved by the University Board of Trustees Secondary appointments in the UI System
Recurring Teaching, Instruction or Extension, and Paid Coaching	
 Universities other than UI System Hospitals other than UI Health Governments Companies Organizations and Not-For-Profits Schools 	 UI System Activities Activities when the occur as part of your UI Appointment or Affiliation Activities covered by contracts approved by the University of Illinois Board of Trustees
Elected or Appointed Positions	
 Government positions Private organizations Board of Directors of organizations not included in UI System appointment or affiliation Scientific Advisor of organizations outside the UI System appointment or affiliation 	 Positions in the UI System Service on academic or governmental committees or review panels
	ravel
 Travel paid by entities that are not exempt³ 	 Travel paid from UI funds Travel approved through the UI System Ethics Officer⁴

¹ Including voluntary, guest, adjunct, or honorary appointments

 $^{^2\} UI\ Systemincludes\ the\ University\ of\ Illinois\ at\ Chicago,\ University\ of\ Illinois\ at\ Urbana\ Champaign,\ University\ of\ Illinois\ at\ Spring field\ and\ UI\ Health.$

³ https://www.vpaa.uillinois.edu/cms/One.aspx?portalId=420456&pageId=984574#examplesnot

⁴ https://www.ethics.uillinois.edu/cms/one.aspx?portalId=1216&pageId=212007

Non-University Activities Academic Staff are Activities that Academic Staff are not required required to include on RNUA

to include on RNUA

Income-producing activities (regardless of profitability)

- Consulting
- **Expert witness**
- Employment outside of UI System
- Company talks and lectures
- Honoraria from any entity not exempted⁵
- **Product endorsements**
- Rental property
- Farming or farm property
- Investments that relate to University responsibilities

- University retirement accounts
- Retirement accounts including IRA, 401K, 403b
- College saving accounts including 529
- Health savings accounts
- Investments that do not relate to University responsibilities
- Honoraria approved through the UI System Ethics Officer⁶

Research⁷

- Publications not citing UI System affiliation
- Abstracts not citing UI System affiliation
- Proposals, Awards, and Agreements not processed through UIC Office of Vice Chancellor for Research (OVCR)
- Confidential agreements or non-disclosure agreements not approved by UIC OVCR and not approved by University Board of Trustees
- Project design, management, implementation or analysis for organizations outside UI System not approved through OVCR or not approved by University Board of Trustees
- Directing or operating a research lab or project outside of the UI System when there is no contract between the outside organization and the University of Illinois approved by the University **Board of Trustees**
- Financial support or any form of in-kind support to conduct research or obtain research data outside of the UI System without a contract that is approved by the University Board of Trustees
- Activities citing appointments or affiliations outside the UI System that are not previously disclosed
- Reports, including progress reports, not citing UI System affiliation
- Research consultancy not processed through UIC OVCR and approved by the University Board of **Trustees**

- Publications, abstracts, or reports citing UI System affiliation
- Proposals, Awards, and Agreements processed through UIC OVCR and approved by University Board of Trustees
- Confidentiality agreements or non-disclosure agreements approved by UICOVCR and approved by University Board of Trustees
- Research conducted under a sub-award agreement from the University of Illinois processes through the OVCR and approved by the University Board of Trustees

⁵ https://www.vpaa.uillinois.edu/cms/One.aspx?portalld=420456&pageld=984574#examplesnot

⁶ https://www.ethics.uillinois.edu/cms/one.aspx?portalId=1216&pageId=212007

Including, but not limited to, that are conducted for or at other Universities, hospitals (not UI Health), governments, VA, companies, not-for-profits, organizations, schools

Non-University Activities Academic Staff are Activities that Academic Staff are not required required to include on RNUA

to include on RNUA

Intellectual Property

- Professional interactions⁸ with organizations optioning or licensing University technology or intellectual property that is not organized by the University Office of Technology Management (OTM)
- Patenting or licensing intellectual property not disclosed to, or managed by, UI System
- Professional interactions with organizations organized by the University Office of Technology Management (OTM)
- **UI System OTM Disclosures**
- UI System OTM managed patents and other intellectual property

Non-University Activities During Sabbatical or Non-Exempt Leave⁹

- Activities supported by non-University funds that are not managed through the University and not approved by the University of Illinois Board of Trustees
- Activities that are outside the scope of the approved sabbatical or leave activities
- Activities supported by funds that are managed through the University and approved by the University of Illinois Board of Trustees

Academic Staff are encouraged to include the following activities on the RNUA

International service on editorial boards or review boards

International speaking events

⁸ Interactions, whether compensated or not, include but are not limited to time spent having discussions, planning, marketing, seeking venture capital, developing research projects, a nalyzing data, making reports.

⁹ The COCI Policy (https://www.vpaa.uillinois.edu/rnua/coci policy/COCI policy 2018/; §VII.A.xii.) s pecifies leave eligible for temporary release from Reporting non-University Activities.