**Date application completed by the Investigator:**

**I. Research Title:**

**II. Personnel**

**A. Principal Investigator (PI)**

|  |  |  |
| --- | --- | --- |
| Name (Last, First) | Degree(s) | Net ID (e.g., NetID@uic.edu) |
| Department | College | University Status[ ]  Student/Fellow/Resident [ ]  Faculty/Staff |
| Phone Number | UIC E-mail Address |

**B. Faculty Sponsor** – required when PI is a student, fellow or resident

|  |  |  |
| --- | --- | --- |
| Name (Last, First) | Degree(s) | Net ID (e.g., NetID@uic.edu) |
| Department | College |
| Phone Number | UIC E-mail Address |

**C. Primary Contact In Addition to PI –** Complete only if the person is different than the PI

|  |  |
| --- | --- |
| Name (Last, First) | Net ID (e.g., NetID@uic.edu) |
| Phone Number | UIC E-mail Address |

**[ ]** Principal Investigator grants this personnel access to OPRS Live for this protocol

**D. List all co-investigators and key research personnel on** [**Appendix P**](https://go.uic.edu/irb0244) **and upload with this application packet.**

**III. Research Funding**

1. **Check all of the appropriate boxes for funding sources (including pending sources) for this research.**

[ ]  Federal Agency Name:

[ ]  Foundation Name:

[ ]  State Agency Name:

[ ]  Department of Defense – Complete [Appendix Q](https://go.uic.edu/irb0960) and upload with this application packet

[ ]  Sub-contract from non-UIC agency or institution: Name:

[ ]  Other - Name:

1. **Funding Identification:** *If the study is supported by more than one funding source, complete and upload* [***Appendix Z***](https://go.uic.edu/irb0247) *for each additional funding source.*

**1.** Institutional Proposal (IP) Number:

**2**. **a**. Name of the PI on the grant or contract received directly from the sponsor:

**b**. Is the PI of this grant or contract affiliated with UIC?

[ ]  No – Identify the agency or institution with which the above PI is affiliated:

Explain the relationship between that agency or institution and UIC:

[ ]  Yes – Upload a copy of the grant, contract, or funding submission to OPRS Live as it is required for review.

**c**. Grant, contract or sub-contract title:

**d**. Grant, contract or sub-contract number:

**A copy of the grant, subcontract, and/or other funding source(s) must be uploaded with the submission. Submissions may be returned without review if the document(s) are not provided.**

**IV. Conflict of Interest (COI)**

All investigators must disclose all real, apparent, or potential Significant Financial Interest (SFI) to the IRB.For more information,see the[**Investigator Conflict of Interest Disclosure Policy for Human Subjects Research**](https://go.uic.edu/irb0269)**.**

**A. Disclosure**

1. At present or in the 12 months prior to this disclosure, did or does any investigator or investigator’s family members have a significant financial interest (SFI) with the research sponsor or any subcontract recipient; or have a SFI reasonably related to a product (e.g., drug, device, method, treatment, etc.) that is the subject of the research; or have any other relationships (e.g. fiduciary, even if uncompensated) that may present a potential conflict of interest with this research?

[ ]  No

[ ]  Yes – See Section B below.

2. Are you aware of an institutional conflict of interest with this study?

[ ]  No

[ ]  Yes – See Section B below.

**B. Management**

If **YES** is checked for any of these questions, complete the disclosure and management plan via START myDisclosures application (<https://myresearch.uillinois.edu/myDisclosures/>). Guidance can be found on the [COI website](https://research.uic.edu/compliance/coi/) under “Managing Conflicts”. Final IRB approval of the research cannot be provided until a management plan is in place and is approved by the IRB. For additional assistance contact the COI Office at **(312) 996-3642 / (312) 996-4070** or email *coi@uic.edu*.

**V. Affirmation of Grant Status Request**

*In accordance with 45CFR46.118, there are some applications for grants, cooperative agreements, or contracts that are submitted to federal departments or agencies with the knowledge that subjects may be involved within the period of support, but these applications do not include specific plans for human subjects research in order to accomplish the aims of the proposal. These applications generally fall into one or more of the following categories:*

 *1. “Core” or “Center” grants—these are institutional grants that will support individual research projects that are “yet to be determined” at the time of the Core or Center grant application.*

 *2. Training grants—these applications request funding for research fellows or others who will be supported for the purpose of implementing human subject research, but the specific studies on which they participate are not part of the training grant application.*

 *3. Development only applications—these applications include plans for the development of specific human subjects research studies, but those studies will only be initiated after some preliminary projects are completed (e.g., development of instruments or compounds, or prior animal studies).*

*Regardless of the category above, under NO circumstances may an investigator initiate human subjects research funded by the grant/contract, including pilot studies, prior to the review and approval of a separate IRB application or a Claim of Exemption (through OPRS).*

# A. Please clarify the type of developmental status you are seeking and provide a summary of the activities that fall under the indicated status? *Check all that apply.*

# [ ]  1. This application is for an institutional “Core” or “Center” grant/contract/agreement in which the specific activities involving subjects remain to be determined or selected.

# Each research project involving human subjects that is funded from this center or core grant/contract/agreement will be separately submitted, and no research activity will begin until each individual project is approved. Those individual applications will cross-reference this protocol as a source of financial support.

1. a. Please summarize the center activities proposed as they are reflected in the approved or pending funding proposal:

# [ ]  2. This application is for an institutional “Training” grant/contract/agreement which will provide support for research personnel who will be involved in human subject research, but the specific studies on which they participate are not included within this grant/contract/agreement.

# Each research project involving human subjects and utilizing research personnel who are funded through this training grant/contract/agreement will be separately submitted, and no research activity will begin until each individual project is approved. Those individual applications will cross-reference this protocol as a source of financial support.

2. a. Please summarize the training activities proposed as they are reflected in the approved or pending funding proposal:

# [ ]  3. This application is for a grant/contract in which the human subject involvement will depend on the development or completion of instruments, recruitment/consent procedures, prior animal studies or purification of compounds.

* After completion of the preliminary activities, a complete research protocol and application must be submitted for review, and the project must be approved prior to the initiation of any component of the research involving human subjects. Those individual applications should be submitted as an amendment to this protocol using the appropriate initial review application (either IRB review or Claim of Exemption application).

3. a. Please summarize the development activities proposed as they are reflected in the approved or pending funding proposal: